

# Plan for today, tomorrow and the future

## My Financial Profile

\*Required for publishing preliminary goal status

Client name\* \_\_\_\_\_ Birth date\* \_\_\_\_\_ Today's date \_\_\_\_\_  
 Co-client name\* \_\_\_\_\_ Birth date\* \_\_\_\_\_  
 Children's names \_\_\_\_\_ Birth date \_\_\_\_\_  
 \_\_\_\_\_ Birth date \_\_\_\_\_  
 \_\_\_\_\_ Birth date \_\_\_\_\_

## What are your goals?

### My retirement goal\*

By when (mo/yr)? Goal amount (today's \$)

\_\_\_\_\_ \$ \_\_\_\_\_

### My other goals (e.g., education, home improvements, vacation home, travel)

Goal 1 \_\_\_\_\_ \$ \_\_\_\_\_  
 Goal 2 \_\_\_\_\_ \$ \_\_\_\_\_  
 Goal 3 \_\_\_\_\_ \$ \_\_\_\_\_

## What are your assets and liabilities?

### My assets

Current value

Annual contributions/savings

### Employer contributions

401(k)(s), 403(b)(s)*	\$ _____	\$ _____	\$ _____	or _____ % of salary (client)
Traditional IRAs, SEPs and SIMPLE IRAs*	\$ _____	\$ _____	\$ _____	or _____ % of salary (co-client)
Annuities	\$ _____	\$ _____		
Brokerage/investment accounts*	\$ _____	\$ _____		
Cash/bank accounts*	\$ _____	\$ _____		
Roth IRAs, Roth 401(k)(s)*	\$ _____	\$ _____		
Cash-value life insurance	\$ _____	\$ _____		
Education savings accounts (e.g., 529 plan)	\$ _____	\$ _____		
Other assets* (e.g., house, personal property)	\$ _____	\$ _____		

### My liabilities

Balance

Monthly payment

Mortgage(s)	\$ _____	\$ _____
Credit card(s)	\$ _____	\$ _____
Other loans (e.g., car, boat)	\$ _____	\$ _____
Other liabilities	\$ _____	\$ _____
	\$ _____	\$ _____

TOTAL \$ \_\_\_\_\_

Estimated net worth \$ \_\_\_\_\_

## What are your estimated income and expenses?

### My annual income today

	Client	Co-client
Salary/bonuses/self-employment* (Income is required if employed)	\$ <input type="text"/>	\$ <input type="text"/>
Social Security** (Consult your annual Social Security Estimate or visit <a href="http://www.ssa.gov/estimator">www.ssa.gov/estimator</a> )	\$ <input type="text"/>	\$ <input type="text"/>
Pension income*	\$ <input type="text"/>	\$ <input type="text"/>
Other (e.g., rental, part-time work)	\$ <input type="text"/>	\$ <input type="text"/>

\*\*If you are retired, include your retirement income from these sources.

### My annual expenses today

Essential expenses \$ <input type="text"/>	Lifestyle expenses \$ <input type="text"/>
(e.g., mortgage, rent, food, utilities, medical, liability payments)	(e.g., hobbies, entertainment, travel)

Do you anticipate your future expenses to:  increase  decrease  stay the same  unsure

## How are you insured today?

Client	Co-client
Life insurance death benefit \$ <input type="text"/>	Life insurance death benefit \$ <input type="text"/>
Long-term care daily benefit \$ <input type="text"/>	Long-term care daily benefit \$ <input type="text"/>
Disability insurance benefit \$ <input type="text"/>	Disability insurance benefit \$ <input type="text"/>
or ___ % of salary (if group coverage)	or ___ % of salary (if group coverage)

## What to bring to your conversation with your advisor

- Financial statements:** Retirement plans, 401(k)(s), 403(b)(s), brokerage, IRAs, Social Security statements
- Insurance policies:** Life, disability, long-term care, auto & home, umbrella
- Recent tax return**
- Recent pay stub** (if applicable)
- Basic estate documents:** Will, healthcare directive/living will, power of attorney, trust(s)
- Employer benefits information:** Enrollment confirmation and/or benefits summary/handbook

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**If you are not an Ameriprise client:** You can either print your completed form and bring it to your next advisor meeting or ask an advisor to invite you to securely share documents on the Ameriprise guest secure site.

Data gathering is one part of the financial planning process. The financial advisor may provide retirement planning analysis and/or recommendations.

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