

# Financial advice for life

We are recognized as a leader in the investment industry and put clients first with personalized, goal-based advice for every stage of life.

## A leading financial institution

- Ameriprise Financial is a **longstanding leader** in financial planning and advice
- More than **2 million** individual, business and institutional clients<sup>2</sup>
- Approximately **10,000 financial advisors** serving clients in the U.S.<sup>3</sup>
- Ameriprise rated **4.9 out of 5 stars** in overall client satisfaction<sup>4</sup>

## A firm that's strong, stable — and growing

- Ameriprise manages and administers assets totaling more than **\$1 trillion**<sup>5</sup>
- Ameriprise ranks as the **#32** global asset manager<sup>6</sup>
- Ameriprise awarded **238** grants in 28 states and Washington, D.C., impacting **77** communities across the country. Since 2009, Ameriprise employees, advisors and clients have provided **more than 100 million meals** and nearly **205,000 volunteer hours** through its National Days of Service to support Feeding America and other hunger-relief organizations nationwide. In addition, last fall Ameriprise again matched public donations (up to \$250,000) to Feeding America.<sup>7</sup>

## Our growth is guided by our values

### Recent awards and recognition:

- 2021 Bloomberg Gender-Equality Index<sup>8</sup>
- Military Friendly Employer recognition<sup>9</sup>

## Top ratings in the investment industry

Ameriprise earned a Hearts & Wallets Top Performer™ recognition in:<sup>1</sup>

“Unbiased and puts my interests first”

“Investment ideas knowledgeable, timely and tactical”

“Offers personal financial advice”

## Ameriprise Financial

New York Stock Exchange symbol: AMP

2020 adjusted operating results<sup>10</sup>

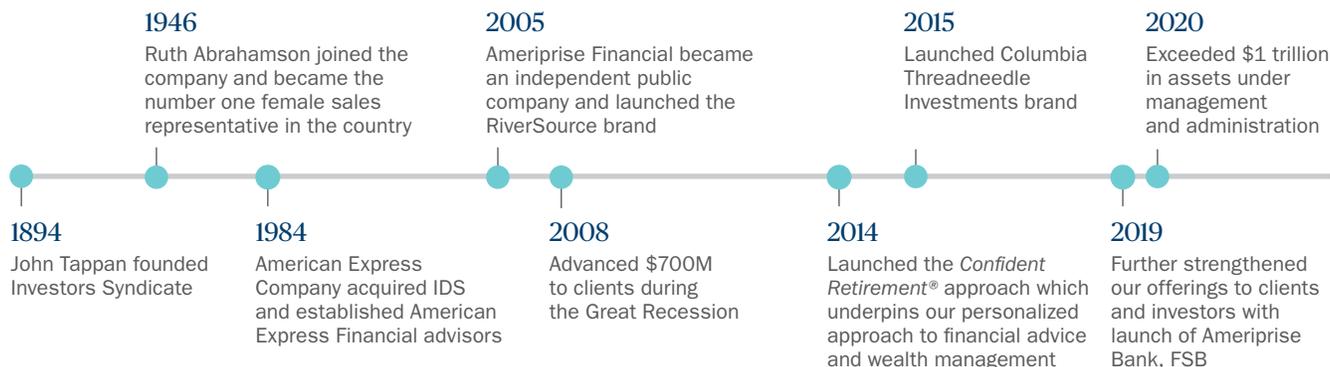
Net revenues: **\$11.8 billion**

Earnings: **\$1.8 billion**

Return on equity (excl. AOCI): **30.2%**

## Our history

For more than 125 years, Ameriprise Financial has helped empower millions of people to pursue their passions, protect what matters most and live their values.



## Your goals are personal. Your financial advice should be too.

The right financial advice can help prepare you for whatever life brings – both the expected and the unexpected. At Ameriprise, we are committed to every client receiving:



**One-to-one financial advice based on your goals and needs.**



**Personalized recommendations for a diversified portfolio, and solutions to help protect you from uncertainty.**



**Regular meetings to review your goals, progress and investments.**



**Anytime access to your investments and digital tools to help you stay on track.**

<sup>1</sup> Source: *Wants & Pricing* Report (April 2021, the most recent report) from the Hearts & Wallets Investor Quantitative™ Database. In this annual syndicated survey respondents are asked to rate their financial services providers in a variety of areas on a scale of 0 (not at all satisfied) to 10 (extremely satisfied). 5,920 respondents provided 10,163 sets of ratings. The report designates Hearts & Wallets Top Performers in areas where customer ratings for one or more providers are “distinctively higher than customer ratings of other providers.” For each area there may be several Top Performers or none. The analysis does not seek to designate any provider as “first” or rank Top Performers. This rating is not indicative of future performance and may not be representative of any one client’s experience, as the rating is an average of a sample of client experiences. Ameriprise paid a fee to Hearts & Wallets to cite the results of the survey.

<sup>2</sup> Company reports.

<sup>3</sup> Ameriprise Financial Q1 2021 Earnings Release.

<sup>4</sup> Clients can respond to an internal Ameriprise survey and rate an advisor or practice, based on their overall satisfaction with the team or practice, on a scale of 1 to 5 (1= extremely dissatisfied to 5= extremely satisfied). Client experiences may vary and working with any Ameriprise practice is not a guarantee of future financial results. Investors should not consider this rating a substitute for their own research and evaluation of a financial practice’s qualifications. Only clients with access to the Ameriprise Secure Client Site may submit a rating. Ratings reflect an average of all client responses received over a rolling two-year period as of 12/31/2020.

<sup>5</sup> Company data, as of Q1 2021.

<sup>6</sup> Pensions & Investments/Thinking Ahead Institute World 500 largest money managers -- Sept. 19, 2020 issue, data as of 12/31/19.

<sup>7</sup> Company information.

<sup>8</sup> Ameriprise is one of 380 companies across 11 sectors included in the 2021 Bloomberg Gender-Equality Index (GEI). For more information about the index, please visit the GEI website.

<sup>9</sup> The term Military Friendly<sup>®</sup> is a registered trademark of Vqictory, Inc., and is used with permission. For more information, visit [militaryfriendly.com](http://militaryfriendly.com) or [vqictory.com](http://vqictory.com).

<sup>10</sup> Financial results reflect operating results. See Ameriprise Financial Q4 2020 statistical supplement on [ir.ameriprise.com/financial-information/quarterly-earnings-documents](http://ir.ameriprise.com/financial-information/quarterly-earnings-documents).

The *Confident Retirement* approach is not a guarantee of future financial results.

Diversification does not assure a profit or protect against loss.

**Investment products are not federally or FDIC-insured, are not deposits or obligations of, or guaranteed by any financial institution, and involve investment risks including possible loss of principal and fluctuation in value. Past performance is not a guarantee of future results.**

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