

Your risk tolerance for your financial goals



Your tolerance for assuming risk is one of the most important aspects of planning to achieve your financial goals. It refers to the degree to which you are willing to invest, recognizing the possibility that an investment may lose value or yield less than its anticipated return. We'll use this information to make plan recommendations based on your goals and time frame. As you take action on your plan, we'll also look at the risk level within each of your accounts, as your risk tolerance may vary based on your specific goals and expectations for each unique account.

For Ameriprise Financial clients: You can send this form and supporting information securely online. Log in to the secure site on ameriprise.com, upload this and other files in Statements & Documents and share with your advisor. Not registered? Visit ameriprise.com/register.

 First Last First Last Date _____

Risk tolerance category	Description
Conservative	I am willing to accept the lowest return potential in exchange for the lowest potential fluctuation in my account value even if it may not keep pace with inflation.
Moderately conservative	I am willing to accept a relatively low return potential in exchange for relatively low fluctuation in account value.
Moderate	I am willing to accept a moderate return potential in exchange for some fluctuation in account value.
Moderately aggressive	I am seeking a relatively high return potential and am willing to accept a relatively high fluctuation and potentially substantial loss in my account value.
Aggressive	I am seeking the highest return potential and am willing to accept the highest fluctuation and could lose most or all of my account value.

Risk tolerance for
 Category _____
 If you were to lean toward one category, which would it be — more conservative or more aggressive?

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Risk tolerance for joint goals
 (if applicable)
 Category _____
 If you were to lean toward one category, which would it be — more conservative or more aggressive?

List any goals for which your risk tolerance may be different than noted above (e.g., short-term goals such as funding education, saving for a large purchase, establishing an emergency fund).

