

# Plan for today, tomorrow and the future

## My Financial Profile

Client name \_\_\_\_\_ Birth year \_\_\_\_\_ Today's date \_\_\_\_\_

Co-client name \_\_\_\_\_ Birth year \_\_\_\_\_

When do you plan to retire? (Leave blank if already retired.) \_\_\_\_\_

## What are your short- and long-term goals? (e.g., education, new car, new home, home improvements, travel)

### In the short term, I'd like to:

Goal 1 \_\_\_\_\_

Goal 2 \_\_\_\_\_

Goal 3 \_\_\_\_\_

### By when (mo/yr)?

\$

\$

\$

### In the long term, I'd like to:

Goal 1 \_\_\_\_\_

Goal 2 \_\_\_\_\_

Goal 3 \_\_\_\_\_

\$

\$

\$

## What are your assets and liabilities?

### My assets:

#### Current value

#### Annual contributions/savings

### Employer contributions:

401(k)(s), 403(b)(s)

\$

\$

\$  or \_\_\_ % of salary (client)

Traditional IRAs, SEPs and SIMPLE IRAs

\$

\$

\$  or \_\_\_ % of salary (co-client)

Annuities

\$

\$

### My liabilities:

#### Balance

Brokerage/investment accounts

\$

\$

Mortgage(s)

\$

Cash/bank accounts

\$

\$

Credit card(s)

\$

Roth IRAs, Roth 401(k)(s)

\$

\$

Other loans (e.g., car, boat)

\$

Cash-value life insurance

\$

\$

Other liabilities

\$

Education savings accounts (e.g., 529 plan)

\$

\$

**TOTAL**

\$

Other assets

(e.g., house, personal property)

\$

\$

**Estimated net worth:**

\$

**TOTAL**

\$

## What are your estimated income and expenses?

### My annual expenses today:

Essential expenses \$   
(e.g., mortgage, rent, food, utilities, medical, liability payments)

Lifestyle expenses \$   
(e.g., hobbies, entertainment, travel)

Do you anticipate your future expenses to:  increase  decrease  stay the same  unsure

### My annual income today:

	Client	Co-client
Salary/bonuses/self-employment	\$ <input type="text"/>	\$ <input type="text"/>
Social Security* (Consult your annual Social Security Estimate or visit <a href="http://www.ssa.gov/estimator">www.ssa.gov/estimator</a> )	\$ <input type="text"/>	\$ <input type="text"/>
Pension income*	\$ <input type="text"/>	\$ <input type="text"/>
Other (e.g., rental, part-time work)	\$ <input type="text"/>	\$ <input type="text"/>

\*If not yet retired, include any expected retirement income from these sources.

## How are you insured today?

### Client

Life insurance death benefit \$

Long-term care daily benefit \$

Disability insurance benefit \$

or \_\_\_ % of salary (if group coverage)

### Co-client

Life insurance death benefit \$

Long-term care daily benefit \$

Disability insurance benefit \$

or \_\_\_ % of salary (if group coverage)

## What to bring to your conversation with your advisor

- Financial statements:** Retirement plans, 401(k)(s), 403(b)(s), brokerage, IRAs, Social Security statements
- Insurance policies:** Life, disability, long-term care, auto & home, umbrella
- Recent tax return**
- Recent pay stub** (if applicable)
- Basic estate documents:** Will, healthcare directive/living will, power of attorney, trust(s)
- Employer benefits information:** Enrollment confirmation and/or benefits summary/handbook

**For Ameriprise clients:** You can send this form and supporting information securely online. Log in to the secure site on [ameriprise.com](http://ameriprise.com), upload this and other files in Statements & Documents and share with your advisor. Not registered? Visit [ameriprise.com/register](http://ameriprise.com/register).

**If you are not an Ameriprise client:** Print your completed form and bring it with you when you meet with an advisor.

Data gathering is one part of the financial planning process. The financial advisor may provide retirement planning analysis and/or recommendations.

Ameriprise Financial Planning Service is optional, offered separately and priced according to the complexity of your case and your financial advisor's practice fee schedule. Your fees and financial advisor may change over time.

For information on our privacy practices and to view our privacy notice, please visit [ameriprise.com/privacy](http://ameriprise.com/privacy).

Ameriprise Financial and its affiliates do not offer tax or legal advice. Consumers should consult with their tax advisor or attorney regarding their specific situation.

Investment advisory products and services are made available through Ameriprise Financial Services, Inc., a registered investment adviser.